

Substitute for form 1449A/PTO

SEP 10 2004

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 10

Complete If Known

Application Number	10/660,857
Filing Date	September 12, 2003
First Named Inventor	PHAN, LOC X.
Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number 018563-001140US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>Ceo</i>	AA	2,467,432	04-19-1949	Kesling	
	AB	3,660,900	05-09-1972	Andrews	
	AC	3,860,803	01-14-1975	Levine	
	AD	3,916,526	11-04-1975	Schudy	
	AE	3,950,851	04-20-1976	Bergersen	
	AF	4,014,096	03-29-1977	Dellinger	
	AG	4,195,046	03-25-1980	Kesling	
	AH	4,324,546	04-13-1982	Heitlinger et al.	
	AI	4,348,178	09-07-1982	Kurz	
	AJ	4,478,580	10-23-1984	Barrut	
	AK	4,504,225	03-12-1985	Yoshii	
	AL	4,505,673	03-19-1985	Yoshii	
	AM	4,575,805	03-11-1986	Moermann , et al.	
	AN	4,611,288	09-09-1986	Duret et al.	
	AO	4,656,860	04-14-1987	Orthuber et al.	
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	AR	4,755,139	07-05-1988	Abbatte et al.	
	AS	4,763,791	08-16-1988	Halverson et al.	
	AT	4,793,803	12-27-1988	Martz	
	AU	4,798,534	01-17-1989	Breads	
	AV	4,837,732	06-06-1989	Brandestini et al.	
	AW	4,850,864	07-25-1989	Diamond	
	AX	4,856,991	08-15-1989	Breads et al.	
	AY	4,936,862	06-26-1990	Walker et al.	
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	BC	5,011,405	04-30-1991	Lemchen	
	BD	5,017,133	05-21-1991	Miura	
	BE	5,027,281	06-25-1991	Rekow et al.	
	BF	5,035,613	07-30-1991	Breads et al.	
	BG	5,055,039	10-08-1991	Abbatte et al.	
	BH	5,059,118	10-22-1991	Breads et al.	
	BI	5,100,316	03-31-1992	Wildman	
	BJ	5,121,333	06-09-1992	Riley et al.	
	BK	5,128,870	07-07-1992	Erdman et al.	
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	BM	5,131,844	07-21-1992	Marinaccio et al.	
	BN	5,139,419	08-18-1992	Andreiko et al.	
	BO	5,184,306	02-02-1993	Erdman et al.	

Examiner Signature

Cary E O Connor

Date Considered

2/3/06

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
Sheet 2		of 10	Application Number 10/660,857 Filing Date September 12, 2003 First Named Inventor PHAN, LOC X. Art Unit Unassigned Examiner Name Unassigned Attorney Docket Number 018563-001140US		

U.S. PATENT DOCUMENTS+						
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		Number	Kind Code ³ (if known)			
CEO	BP	5,186,623		02-16-1993	Breads et al.	
	BQ	5,257,203		10-26-1993	Riley et al.	
	BR	5,273,429		12-28-1993	Rekow et al.	
	BS	5,278,756		01-11-1994	Lemchen et al.	
	BT	5,338,198		08-16-1994	Wu et al.	
	BU	5,340,309		08-23-1994	Robertson	
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	BW	5,368,478		11-29-1994	Andreiko et al.	
	BX	5,382,164		01-17-1995	Stem	
	BY	5,395,238		03-07-1995	Andreiko et al.	
	BZ	5,431,562		11-11-1995	Andreiko et al.	
	CA	5,440,496		08-08-1995	Andersson et al.	
	CB	5,447,432		09-05-1995	Andersson et al.	
	CC	5,452,219		09-19-1995	Dehoff et al.	
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	CE	5,456,600		10-10-1995	Andreiko et al.	
	CF	5,474,448		12-12-1995	Andreiko et al.	
	CG	5,518,397		05-21-1996	Andreiko et al.	
	CH	5,533,895		07-09-1996	Andreiko et al.	
	CI	5,542,842		08-06-1996	Andreiko et al.	
	CJ	5,549,476		08-27-1996	Stem	
	CK	5,587,912		12-24-1996	Andersson et al.	
	CL	5,605,459		02-25-1997	Kuroda et al.	
	CM	5,607,305		03-04-1997	Andersson et al.	
	CN	5,645,421		07-08-1997	Slootsky	
	CO	5,655,653		08-12-1997	Chester	
	CP	5,683,243		11-04-1997	Andreiko et al.	
	CQ	5,733,126		03-31-1998	Andersson et al.	
	CR	5,740,267		04-14-1998	Echerer et al.	
CEO	CS	5,975,893		11-02-1999	Chishti et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
CEO	CT	EP	091,876	A1	10-19-1983	Duret		<input type="checkbox"/>
CEO	CU	EP	299,490	A2	01-18-1989	Steinbichler et al.		<input type="checkbox"/>
CEO	CV	EP	376,873	A2	07-04-1990	Shafir		<input type="checkbox"/>
CEO	CW	EP	490,848	B1	06-17-1992	Andersson et al.		<input type="checkbox"/>
CEO	CX	EP	541,500	A1	06-12-1998	Andersson et al.		<input type="checkbox"/>

Examiner Signature	<i>Cary E O'Connor</i>	Date Considered	2/3/06
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				Filing Date	September 12, 2003
				First Named Inventor	PHAN, LOC X.
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	3	of	10	Attorney Docket Number	018563-001140US

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
CED	CY	EP	731,673	B1	09-18-1998	Andersson et al.		<input type="checkbox"/>
	CZ	EP	774,933	B1	05-28-1997	Oden et al.		<input type="checkbox"/>
	DA	FR	2,652,256	A1	03-29-1991	Jourda		<input type="checkbox"/>
	DB	FR	2,369,828	A1	06-02-1978	Suyehiro		<input type="checkbox"/>
	DC	WO	90/08,512	A1	08-09-1990	Lemcren		<input type="checkbox"/>
	DD	WO	91/04,713	A1	04-18-1991	Jourda et al.		<input type="checkbox"/>
	DE	WO	94/10,935	A1	05-26-1994	Andreiko et al.		<input type="checkbox"/>
V	DF	WO	98/44,865	A1	10-15-1998	Andersson		<input type="checkbox"/>
CEO	DG	WO	98/58,596	A1	12-30-1998	Chishti et al.		<input type="checkbox"/>

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Application Number</i>	10/660,857
(use as many sheets as necessary)				<i>Filing Date</i>	September 12, 2003
				<i>First Named Inventor</i>	PHAN, LOC X.
				<i>Art Unit</i>	Unassigned
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
<i>CLO</i>	DH	ALEXANDER et al., "The DigiGraph Work Station Part 2, Clinical Management," <i>JCO</i> (July 1990), pp. 402-407.			
	DI	ALTSCHULER et al., "Laser Electro-Optic System for Rapid Three-Dimensional (3D) Topographic Mapping of Surfaces," <i>Optical Engineering</i> , Vol. 20(6) (1981), pp. 953-961.			
	DJ	ALTSCHULER et al., "Measuring Surfaces Space-Coded by a Laser-Projected Dot Matrix," <i>SPIE Imaging Applications for Automated Industrial Inspection and Assembly</i> , vol. 182 (1979), p. 187-191.			
	DK	ALTSCHULER et al., "Analysis of 3-D Data for Comparative 3-D Serial Growth Pattern Studies of Oral-Facial Structures," Program and Abstracts of Papers, Feb. 1975, <i>Journal of Dental Research</i> , Vol. 54, IADR Abstracts 1979, 2 pages total.			
	DL	ALTSCHULER, "3D Mapping of Maxillo-Facial Prostheses," AADR Abstract #607, 1980, 2 pages total..			
	DM	American Association for Dental Research, Summary of Activities, March 20-23, 1980, Los Angeles, CA, p. 195.			
	DN	ANDERSSON et al., "Clinical Results with Titanium Crowns Fabricated with Machine Duplication and Spark Erosion," <i>Acta Odontologica Scandinavica</i> , vol. 47 (1989), pp. 279-286.			
	DO	BAUMRIND et al., "A stereophotogrammetric system for the detection of prosthesis loosening in total hip arthroplasty, Applications of Human Biostereometrics (NATO)," <i>Proceedings of the Society of Photo-Optical Instrumentation Engineers</i> , Vol. 166, July 9-13, 1978, pp. 112-123.			
	DP	BAUMRIND et al., "Mapping the Skull in 3-D, Reprinted from The Journal, <i>California Dental Association</i> , Vol. 48, No. 2 (1972 Fall Issue) 11 pages total.			
	DQ	BAUMRIND et al., "Seminars in Orthodontics," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), p. 222.			
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	DS	BAUMRIND, "Integrated Three-Dimensional Craniofacial Mapping: Background, Principles, and Perspectives," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), pp. 223-232.			
	DT	BEGOLE et al., "A Computer System for the Analysis of Dental Casts," <i>The Angle Orthodontist</i> , Vol. 51 No. 3 (July 1981), pp. 253-259.			
	DU	BERNARD et al., "Computerized diagnosis in Orthodontics for Epidemiological Studies" (progress report), Abstracts of Papers, <i>Journal of Dental Research</i> ; Vol. 71, Special Issue March 1-14, 1992, pp. 28-36.			
<i>↓</i>	DV	BHATIA et al., "A Computer-Aided Design for Orthognathic Surgery," <i>British Journal of Oral and Maxillofacial Surgery</i> , Vol. 22 (1984), pp. 237-253.			
<i>CLO</i>	DW	BIGGERSTAFF et al., "Computerized analysis of occlusion in the postcanine dentition," <i>American Journal of Orthodontics</i> , Vol. 61, No. 3 (Mar. 1972), pp. 245-254.			

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NON PATENT LITERATURE DOCUMENTS					
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<i>CEO</i>	DX	BIGGERSTAFF, "Computerized Diagnostic Setups and Simulations," <i>The Angle Orthodontist</i> , Vol. 40, No. 1 (Jan. 1970), pp. 28-36.			
	DY	BRANDESTINI et al., "Computer Machined Ceramic Inlays: In Vitro Marginal Adaptation," <i>Journal of Dental Research</i> , Vol. 64/Special Issue/Abstracts, IADR/AADR Abstracts 1985, 1 page total.			
	DZ	BROOK et al., "An Image Analysis System for the Determination of Tooth Dimensions from Study Casts: Comparison with Manual Measurements of Mesio-distal Diameter, Abstract of Papers, 1985, Dept. of Children's Dentistry and Orthodontics, <i>J Dent Res.</i> , March 1986, pp. 428-431..			
	EA	BURSTONE (interview), "Dr. Charles J. Burstone on The Uses of the Computer in Orthodontic Practice (Parts 1 and 2)," <i>Journal of Clinical Orthodontics</i> , (Part 1) Vol. 8, No. 7 , July 1979; (Part 2) Vol. 8, No. 8 pp. 539-551, Aug. 1979.			
	EB	BURSTONE et al., "Precision adjustment of the transpalatal lingual arch: Computer arch form predetermination," <i>Am. Journal of Orthodontics</i> , Vol. 79, No. 2 (Feb. 1981), pp. 115-133.			
	EC	CHACONAS et al., "The DigiGraph Work Station, Part 1, Basic Concepts," <i>JCO</i> (June 1990), pp. 360-367.			
	ED	CHAFETZ et al., "Subsidence of the Femoral Prosthesis, A Stereophotogrammetric Evaluation," <i>Clinical Orthopaedics and Related Research</i> , No. 201 (December 1985), pp. 60-67.			
	EE	CHIAPPONE, "Constructing the gnathologic setup and positioner" <i>J. Clin. Orthod.</i> , 14:121-133, 1980.			
	EF	COTTINGHAM, "Gnathologic clear plastic positioner" <i>Am. J. Orthod.</i> , 55:23-31, 1969.			
	EG	CRAWFORD, "Computers In Dentistry: Part 1: CAD/CAM: The Computer Moves Chairside," "Part 2: F. Duret — A Man With A Vision," "Part 3: The Computer Gives New Vision- Literally," "Part 4: Bytes 'N Bites" The Computer Moves From The Front Desk To The Operatory, <i>Canadian Dental Journal</i> , vol. 54(9), , (1988), pp. 661-666.			
	EH	CRAWFORD, "CAD/CAM in the Dental Office: Does It Work?" <i>Canadian Dental Journal</i> , Vol. 57, No. 2 (Feb. 1991), pp. 121-123.			
	EI	CROOKS, "CAD/CAM Comes to USC," <i>USC Dentistry</i> , (Spring 1990) pp. 14-17.			
	EJ	CURETON, "Correcting malaligned mandibular Incisors with removable retainers" <i>J. Clin. Orthod.</i> , 30:390-395, 1996.			
	EK	CURRY et al., "Integrated Three-Dimensional Craniofacial Mapping at the Craniofacial Research Instrumentation Laboratory/University of the Pacific," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), pp. 258-265.			
<i>CEO</i>	EL	CUTTING et al., "Three-Dimensional Computer-Assisted Design of Craniofacial Surgical Procedures: Optimization and Interaction with Cephalometric and CT-Based Models," <i>Plastic and Reconstructive Surgery</i> , Vol. 77, No. 6 (June 1986), pp. 877-885.			
<i>CEO</i>	EM	DCS Dental AG, "The CAD/CAM 'DCS Titan System' for Production of Crowns/Bridges" <i>DSC Production AG</i> , Jan. 1992, pp. 1-7.			

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<i>CLD</i>	EN	DEFRANCO et al., "Three-Dimensional Large Displacement Analysis of Orthodontic Appliances," <i>J. Biomechanics</i> , Vol. 9 (1976), pp. 793-801.			
	EO	Dental Institute University of Zurich Switzerland, Program for International Symposium on Computer Restorations: State of the Art of the CEREC-Method, May 1991, 3 pages total.			
	EP	DenTrac Corporation, Dentrac document, pp. 4-13.			
	EQ	DURET et al., "CAD-CAM in Dentistry," <i>Journal of the American Dental Association</i> , Vol. 117 (Nov. 1988), pp. 715-720.			
	ER	DURET et al., "CAD/CAM imaging in dentistry," <i>Current Opinion in Dentistry</i> , Vol. 1 (1991), pp. 150-154.			
	ES	DURET, "The Dental CAD/CAM, General Description of the Project," <i>Hennson International Product Brochure</i> , Jan. 1986., 18 pages total.			
	ET	DURET, "Vers une prothese informatisee," (English translation also attached), <i>Tonus</i> , vol. 75, (Nov. 15, 1985), pp. 55-57.			
	EU	ECONOMIDES, "The Microcomputer in the Orthodontic Office," <i>JCO</i> , (Nov. 1979), pp. 767-772.			
	EV	ELSASSER, "Some observations on the history and uses of the Kesling positioner" <i>Am. J. Orthod.</i> , 36:368-374, 1950.			
	EW	FABER et al., "Computerized interactive orthodontic treatment planning," <i>Am. J. Orthod.</i> , Vol. 73, No. 1 (Jan. 1978), pp. 36-46.			
	EX	FELTON et al., "A computerized analysis of the shape and stability of mandibular arch form," <i>Am. Journal of Orthodontics and Dentofacial Orthopedics</i> , Vol. 92, No. 6 (Dec. 1987), pp. 478-483.			
	EY	FRIEDE et al., "Accuracy of Cephalometric Prediction in Orthognathic Surgery," Abstract of Papers, <i>Journal of Dental Research</i> , Vol. 70 (1987), pp. 754-760.			
	EZ	GIM-ALLDENT Deutschland, "Das DUX System: Die Technik" 4 pages total.			
	FA	GRAYSON, "New Methods for Three Dimensional Analysis of Craniofacial Deformity," Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery, AAOMS Sept. 13, 1990, 3 pages total.			
<i>V</i>	FB	GUESS et al., "Computer Treatment Estimates in Orthodontics and Orthognathic Surgery," <i>JCO</i> , (April, 1989), pp. 262-28.			
<i>AS</i>	FC	HEAVEN et al., "Computer-based Image Analysis of Artificial Root Surface Caries," "Automated Identification of Landmarks in Cephalometric Radiographs," Abstracts of Papers, <i>Journal of Dental Research</i> , Vol. 67, March 9-13, 1988, 2 pages total.			

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CEO	FD	HOFFMANN et al., "Role of Cephalometry for Planning of Jaw Orthopedics and Jaw Surgery Procedures," (Article Summary In English, article in German), <i>Informationen</i> , (March 1991), pp. 375-396.			
	FE	HUCKINS, "CAD-CAM Generated Mandibular Model Prototype from MRI Data," <i>AAOMS</i> 1999, p. 96.			
	FF	Inside the ADA, <i>Journal Of The American Dental Assoc.</i> , Vol. 118 (March 1989) 9 pages total.			
	FG	JCO Interviews, "Craig Andreiko , DDS, MS on the Elan and Orthos Systems", JCO, (Aug. 1994), pp. 459-468.			
	FH	JCO Interviews, "Dr. Homer W. Phillips on Computers in Orthodontic Practice, Part 2," JCO, (Dec. 1983), pp. 819-831.			
	FI	JERROLD, "The problem, electronic data transmission and the law," <i>AJO-DO</i> , (Apr. 1988), pp. 478-479.			
	FJ	JONES et al., "An Assessment of the Fit of a Parabolic Curve to Pre- and Post-Treatment Dental Arches," <i>British Journal of Orthodontics</i> , Vol. 16 (1989), pp. 85-93.			
	FK	KAMADA et al., "Case reports on tooth positioners using LTV vinyl silicone rubber" <i>J. Nihon University School of Dentistry</i> , 26(1):11-29, 1984.			
	FL	KAMADA et al., "Construction of tooth positioners with LTV vinyl silicone rubber and some case reports" <i>J. Nihon University School of Dentistry</i> , 24(1):1-27, 1982.			
	FM	KANAZAWA et al., "Three-Dimensional Measurements of the Occlusal Surfaces of Upper Molars in a Dutch Population," <i>J. Dent Res.</i> , Vol. 63, No. 11 (Nov. 1984), pp. 1298-1301.			
	FN	KESLING, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>Am. J. Orthod. Oral. Surg.</i> , 32:285-293, 1946.			
	FO	KESLING, "The philosophy of the tooth positioning appliance" <i>Am. J. Orthod. Oral. Surg.</i> , 31(6):297-304, 1945.			
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Examiner Signature	Cary EO Conner	Date Considered	2/3/06
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Substitute for form 1449B/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/660,857
				Filing Date	September 12, 2003
				First Named Inventor	PHAN, LOC X.
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	8	of	10	Attorney Docket Number	018563-001140US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
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	FU	MCNAMARA et al., "Invisible Retainers", <i>J. Clinical Orthodontics</i> , (August 1985) pp. 570-578.		
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	GD	PONITZ, Invisible Retainers, 59 <i>Am. J. Orthodontics</i> , March 1971, pp. 266-272.		
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CEO	GI	REKOW, "Dental CAD-CAM Systems: What is the State of the Art?" <i>Journal of the American Dental Assoc.</i> , Vol. 122 (1991), pp. 43-48.		

Examiner Signature	Cary E O'Connor	Date Considered	2/13/86
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	GJ	REKOW, "Feasibility of an Automated System for Production of "Dental Restorations," PhD Thesis, Univ. of Minnesota, Nov. 1988, 244 pages total.			T ²
<i>CCO</i>	GK	RICHMOND et al., Research Reports, "The development of a 3D Cast Analysis System," <i>British Journal of Orthodontics</i> , pp. 53-54.			
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	GQ	SHILLIDAY, "Minimizing finishing problems with the mini-positioner" <i>Am. J. Orthod.</i> 59:596-599, 1971			
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	GW	U.S. Provisional Patent Application No. 60/050342, filed on June 20, 1997, 41 pages total.			
<i>V</i>	GX	VAN DER LINDEN et al., Three-Dimensional Analysis of Dental Casts by Means of the Optocom," <i>J Dent Res</i> , July-August 1972, p. 1101.			
<i>CCO</i>	GY	VAN DER LINDEN, "A New Method to Determine Tooth Positions and Dental Arch Dimensions," July-August 1972, p. 1104.			

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	HA	VARADY et al., "Reverse Engineering of Geometric Models - An Introduction," May 13, 1996, pp. 1-28.		
	HB	WARUNEK et al., "Clinical use of silicone elastomer appliances" JCO, MH (10):694-700, 1989.		
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	HE	WILLIAMS, "Dentistry and CAD/CAM: Another French Revolution," <i>Journal of Dental Practice Admin.</i> , Jan./March 1987, pp. 2-5.		
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<i>V</i>	HH	YAMAMOTO et al., "Three-Dimensional Measurement of Dental Cast Profiles and Its Applications to Orthodontics," Annual Int'l Conf. of IEEE Engineering in Medicine and Biology Society, Vol. 12, No. 5, pp. 2051-2053, 1990		
<i>CD</i>	HI	YAMAMOTO et al., "Optical measurement of dental cast profile and application to analysis of three-dimensional tooth movement in orthodontics," <i>Frontiers in Med. and Biol. Eng'g</i> , Vol. 1, No. 2 (1988), pp. 119-130.		

Examiner Signature	<i>Casey E O'Connor</i>	Date Considered	2/3/06
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		Application Number	10/660,857
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		Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet	1	of	2
		Attorney Docket Number	

U.S. PATENT DOCUMENTS+

CITED PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
CDD	1	3,922,786	12/1975	Lavin	
	2	5,011,705	4/1991	Lemchen	
	3	5,957,686	9/1999	Anthony	
	4	5,964,587	10/1999	Sato	
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		Country Code ³	Number ⁴			
CED	8	WO	98/58596	12/1998		

Examiner Signature	Cary EO Connor	Date Considered	2/3/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
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Sheet	2	of	2		
				Application Number	10/660,857
				Filing Date	September 12, 2003
				First Named Inventor	PHAN, LOC X.
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				Attorney Docket Number	018563-001140US

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cel	9	Chippone, "Constructing the gnathologic setup and positioner" <i>Journal of Clinical Orthodontics</i> (1980) 14:121-133.		
	10	Cottingham, "Gnathologic clear plastic positioner" <i>American Journal of Orthodontics</i> (1969) 55:23-31.		
	11	Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>Journal of Clinical Orthodontics</i> (1996) 30:390-395.		
	12	Kesling et al., "The philosophy of the tooth positioning appliance" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 31:297-304.		
	13	Kesling et al., "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 32:285-293.		
	14	Kleeman et al., "The speed positioner" <i>Journal of Clinical Orthodontics</i> (1996) 30:673-680.		
	15	Product Brochure, Raintree Essix™, Inc. New Orleans, Louisiana 70125, 7 pages total from http://www.essix.com/magazine/default.html .		
	16	Product Brochure, Tru-tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total.		
	17	Shilliday, "Minimizing finishing problems with the mini-positioner" <i>American Journal of Orthodontics</i> (1971) 59:596-599.		
↓	18	Warunek et al., "Clinical use of silicone elastomer appliances" <i>Journal of Clinical Orthodontics</i> (1989) 23:694-700.		
cel	19	Wells, "Application of the positioner appliance in orthodontic treatment" <i>American Journal of Orthodontics</i> (1969) 55:23-31.		

Examiner Signature	<i>Cory E Connor</i>	Date Considered	2/3/06
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STATEMENT BY APPLICANT

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Sheet 1 of 11

Complete If Known

Application Number	10/660,857
Filing Date	September 12, 2003
First Named Inventor	PHAN, LOC X.
Art Unit	3732
Examiner Name	Unassigned

Attorney Docket Number 018563-001140US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CEO	1	3,407,500	10-29-1968	Kesling	
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	3	3,683,502	08-15-1972	Wallshein.	
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	5	4,253,828	03-03-1981	Coles et al.	
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Examiner Signature

Cary E O'Connors

Date Considered

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CLO	42	5,880,961	03-09-1999	Crump	
	43	5,880,962	03-09-1999	Andersson et al.	
	44	5,934,288	08-10-1999	Avila et al.	
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	48	6,049,743	04-11-2000	Baba	
	49	6,062,861	05-16-2000	Andersson	
	50	6,068,482	05-30-2000	Snow	
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	61	6,398,548	06-04-2002	Muhammad et al.	
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	67	AU	3031677	05-10-1979	Hito Suyehiro		<input type="checkbox"/>
	68	AU	5598894	06-08-1994	Ormco Corp		<input type="checkbox"/>
	69	CA	1121955	04-20-1982	Suyehiro Hito		<input type="checkbox"/>
	70	DE	2749802	05-11-1978	Suyehiro Hito		<input checked="" type="checkbox"/>
	71	DE	69327661	07-20-2000	Ormco Corp		<input checked="" type="checkbox"/>
	72	EP	0091876	10-19-1983	Duret		<input checked="" type="checkbox"/>
▼	73	EP	0299490	01-18-1989	Steinbichler		<input checked="" type="checkbox"/>
CLO	74	EP	0376873	07-04-1990	Shafir		<input type="checkbox"/>
	75	EP	0490848	06-17-1992	Nobelpharma AB.		<input type="checkbox"/>

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴				
CLD	76	EP	0541500	05-12-1993	Nobelpharma AB		<input type="checkbox"/>
	77	EP	0667753	08-23-1995	Ormco Corp		<input type="checkbox"/>
	78	EP	0774933	05-28-1997	Sandvik AB		<input type="checkbox"/>
	79	EP	0731673	09-18-1996	Nobel Biocare AB		<input type="checkbox"/>
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	81	FR	2369828	06-02-1978	Hito Suyehiro	<input checked="" type="checkbox"/>	
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	88	WO	91/04713	04-18-1991	Jourda et.al.		<input type="checkbox"/>
	89	WO	94/10935	05-26-1994	Ormco Corp		<input type="checkbox"/>
↓	90	WO	98/32394	07-30-1998	Hultgren Bruce Willard		<input type="checkbox"/>
CLD	91	WO	98/44865	10-15-1998	Nobel Biocare AB		<input type="checkbox"/>

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Sheet	10	of	11	<i>Attorney Docket Number</i>	018563-001140US

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
<i>CD</i>	181	RICHMOND et.al., The Development of the PAR Index (Peer Assessment Rating): Reliability and Validity, <i>European Journal of Orthodontics</i> (1992) 14:125-139.			
	182	RICHMOND et al., Research Reports, The, Development of a 3D Cast Analysis System, <i>British Journal of Orthodontics</i> , pp. 53-54.			
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	191	STOLL et al., Computer-aided Technologies in Dentistry (Article Summary in English, article in German), <i>Dtsch Zahna'rztl Z</i> 45, 314-322,1990.			
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<i>V</i>	195	VAN DER LINDEN et al., Three-Dimensional Analysis of Dental Casts by Means of the Optocom, <i>J Dent Res.</i> July-August 1972, p. 1100.			
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Examiner Signature	<i>Cary E O'Connor</i>	Date Considered	<i>2/3/06</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				<i>Application Number</i>	10/660,857
(use as many sheets as necessary)				<i>Filing Date</i>	September 12, 2003
				<i>First Named Inventor</i>	PHAN, LOC X.
				<i>Art Unit</i>	3732
				<i>Examiner Name</i>	Unassigned
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<i>CCO</i>	197	VAN DER ZEL, Ceramic-fused-to-metal Restorations with a New CAD/CAM System, <i>Quintessence International</i> , Vol. 24, No. 11 (1993), pp. 769-778.			
	198	VARADY et al., Reverse Engineering Of Geometric Models—An Introduction, <i>Computer-Aided Design</i> , 29 (4):255-268,1997.			
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	200	WILLIAMS, Dentistry and CAD/CAM: Another French Revolution, <i>Journal of Dental Practice Admin.</i> , Jan./March 1987, pp. 2-5.			
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	202	WISHAN, New Advances in Personal Computer Applications for Cephalometric Analysis, Growth Prediction, Surgical Treatment Planning and Imaging Processing, Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery Presented on September 13, 1999			
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	205	YOSHII, Research on a New Orthodontic Appliance: The Dynamic Positioner (D.P.)-I. The D.P. concept and Implementation of Transparent Silicone Resin (Orthocon), <i>Nippon Dental Review</i> , Vol. 452,6/80, pp. 61-74.			
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